Stock & Securities Transfer

Adventist Health Castle

Stock or securities transfers to Adventist Health Castle Philanthropy arrive with **no accompanying donor information.** In order for us to identify and properly acknowledge your gift, please complete the form below. In the event you have already transferred stocks or securities and have not been acknowledged, please complete the form below to alert our team of your gift.

Gifts of Stock & Securities

Donor security transfers are made in one of three ways:

- Electronically (DTC)
- Physical Certificate—Donor's Name
- Physical Certificate—Adventist Health Castle Philanthropy

Note: One of the following procedures must be used for each and every marketable security transfer (stocks, bonds, mutual funds). Following the procedures identified below will ensure that proper credit is given to the donor.

Electronic transfer (DTC)

To transfer securities held in a brokerage account, the donor should instruct their broker to transfer the securities to the following Foundation account:

Participant DTC #2925
Agent Bank ID #78861
Principal Account # 14054037, Adventist Health Working Capital - General Purpose

Upon Completion of the DTC, please

1. Request broker send confirmation of transfer to the AH Castle Philanthropy. The confirmation should indicate the donor's name, the security being transferred, the Foundation account which should be used, and the date of transfer.
2. Complete this form and send to the AH Castle Philanthropy so that gifts can be properly credited.

Physical Certificate—Donor's Name

Donors wishing to give securities that are held in physical form (e.g., stock certificates) and are registered in their names, donors should mail either (1) an endorsed security certificate(s) or (2) an unendorsed security certificate(s) with a signed stock power in seperate envelopes to the Foundation. Along with physical documents, please include a letter authorizing the shares to be transferred and deposited to an AH Castle Philanthropy account. The letter should accompany this completed form so that the gift can be properly credited.

Physical Certificate—Naming the AH Castle Philanthropy

Donors may elect to re-register their physical form securities into the Adventist Health Castle Philanthropy's name before sending them to the Foundation.

Mailing

Authorization letters, this form, certificates, and stock or bond power, or re-registered physical documents should be mailed to

Adventist Health Castle Philanthropy
640 `Ulukahiki Street
Kailua, HI 96734
808-263-5335

Stock or Securities Information

Anticipated Transfer Date

Brokerage Name

Broker/Financial Office Contact Name

Broker/Financial Office Phone Number

Types of Stock (Name/Symbol) Number of Shares

$

Estimated Value

Gift Designation

☐ Market/Hospital 400 - AH Roseville

☐ Specialty/Service

☐ Fund

☐ Event

Donor Information

☐ Dr. ☐ Mr. ☐ Mrs. ☐ Ms. *OR* ☐ Business

Primary Donor Name (as you would like it acknowledged and credited)

Spouse/Partner Name (for joint gifts) *OR* Business Primary Contact Name

Address

City State Zip

Phone ☐ Cell ☐ Home ☐ Work Email

☐ I/we prefer this gift to be anonymous.

Signature (required) Date

This form may be sent electronically to: castlemedgift@ah.org.